



List of Affiliated or Related Companies, Funds and Managers Baird Private Wealth Management

As of May 2, 2024

This document lists the affiliated or related investment managers, products and services which may be made available to Baird Private Wealth Management client accounts as of the date indicated above. These managers, products and services include investment managers, mutual funds, exchange-traded funds (ETFs), unit investment trusts (UITs), closed-end funds and private investment funds (subject to eligibility). Additional information about the products listed below can be found in the applicable prospectus, statement of additional information or other offering documents. Also found below is a list of other companies for which a Baird associate serves as a director or officer and which have publicly traded securities.

Affiliated or Related Broker-Dealers

Strategas Securities, LLC
Robert W. Baird Limited

Affiliated or Related Investment Managers/Investment Advisors

Baird Equity Asset Management (including Specialized Asset Management and Chautauqua Capital Management ("CCM"))
Baird Advisors
GAMMA Investing, LLC ("GAMMA")
Greenhouse Funds, LLLP ("Greenhouse")
Greenhouse Fund GP LLC
LoCorr Fund Management, LLC ("LoCorr")
RiverFront Investment Group, LLC ("RiverFront")
Strategas Asset Management, LLC
Strategas Securities, LLC

Affiliated or Related Mutual Funds

The Baird Funds (various series of Baird Funds, Inc. for which Baird serves as investment adviser)

Prospectus and statement of additional information available at bairdassetmanagement.com/baird-funds.

Baird Bond Funds

- Baird Advisors provides investment management and other services to certain Baird Funds investing primarily in fixed income securities

Baird Equity Funds

- Baird Equity Asset Management provides investment management and other services to certain Baird Funds investing primarily in equity securities
- Chautauqua Capital Management provides investment management and other services to certain Baird Funds pursuing global or international investment strategies
- Greenhouse serves as investment subadvisor to the Baird Equity Opportunity Fund



Following are mutual funds for which Baird serves as sub-adviser:

Bridge Builder Core Bond Fund

- a mutual fund series of Bridge Builder Trust for which Baird Advisors serves as sub-adviser

Principal MidCap Growth III Fund

- a mutual fund series of Principal Funds, Inc for which Baird Equity Asset Management serves as sub-adviser

Pace International Equity Investments Fund

- a mutual fund series of the Pace® Select Advisors Trust for which CCM serves as sub-adviser

RiverFront Funds (various series of Financial Investors Trust for which RiverFront serves as sub-adviser)

Destinations Large Cap Equity Fund (a series of Brinker Capital Destinations Trust for which Strategas Asset Management, LLC serves as sub-adviser)

Affiliated or Related Collective Investment Trusts

Series Twenty Nine Baird Small/Mid Cap Growth CIT (a series of the Reliance Trust Institutional Retirement Trust for which Baird Equity Asset Management serves as investment adviser)

Affiliated or Related ETFs

Riverfront ETFs (series of the ALPS ETF Trust for which RiverFront serves as sub-adviser)

Riverfront First Trust ETFs (series of the First Trust ETF III Trust for which RiverFront serves as sub-adviser)

Strategas ETFs (series of the Advisors' Inner Circle Fund III for which Strategas Asset Management, LLC is investment adviser)

Affiliated or Related UITs

Strategas Policy Basket Portfolio (series of the Strategas Trust, a UIT sponsored by Strategas Securities, LLC)

First Trust Series

DIT Global Portfolio

- series of the FT Series, sponsored by First Trust Portfolios L.P. for which Baird selects securities

Dividend Income Trust

- series of the FT Series, sponsored by First Trust Portfolios L.P. for which Baird selects securities

AQA Portfolio

- series of the FT Series, sponsored by First Trust Portfolios L.P. for which Baird selects securities

AQA Large Cap Portfolio

- series of the FT Series, sponsored by First Trust Portfolios L.P. for which Baird selects securities

Affiliated or Related Closed-End Funds

Baird provides fund administration services for the following closed-end funds sponsored by Duff & Phelps:

DNP Select Income Fund Inc.

DTF Tax-Free Income, Inc.



Duff & Phelps Utility and Corporate Bond Trust Inc.

Affiliated or Related Private Investment Funds

Baird Capital Private Equity Funds

Additional information about Baird Capital Private Funds and a current list of portfolio company holdings is available at www.bairdcapital.com

Baird Capital Partners Funds

Baird Capital Global Funds

Baird Venture Partners Funds

Chautauqua Private Funds (CCM as investment manager)

Chautauqua International Growth Equity QP Fund, LP

Chautauqua Global Growth Equity QP Fund, LP

Chautauqua Series Fund, LLC (New World Growth Equity Series)

Greenhouse Hedge Funds

Greenhouse Onshore Fund LP (Greenhouse as investment manager)

Greenhouse Overseas Fund Ltd. (Greenhouse as investment adviser)

Greenhouse Master Fund LP (Greenhouse as investment manager)

Greenhouse Long Only Onshore Fund LP

Greenhouse Long Only Master Fund LP

Baird Principal Group Partners Funds

Other Affiliated Financial Services Firms

Baird Trust Company (a Kentucky chartered trust company)

Public Companies for Which a Baird Associate is a Director or Officer

Associated Banc-Corp (Ticker: ASB)

Centerspace (Ticker: CSR)

CubeSmart, L.P. (Ticker: CUBE)

Waterstone Financial, Inc. (Ticker: WSBF)

Wisconsin Energy Corporation (Ticker: WEC)